

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: WALKER et al.

) Examiner: Not Yet Assigned

)

Serial No.: Not Yet Assigned

) Group Art Unit: Not Yet Assigned

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) Attorney Docket No: 03-011

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Filing Date: February 23, 2004

) **CUSTOMER NO.: 22927**

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For: METHOD AND APPARATUS FOR
SETTING GAME PARAMETERS

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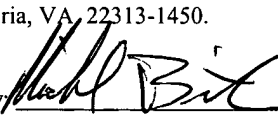
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope with sufficient postage and addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450.

Dated: 02/23/04 By: 

Michael D. Brinton

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent

to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

1. ☐ Applicants respectfully direct the Examiner's attention to the pending U.S. patent applications listed on the enclosed Form PTO-1449. The Examiner is respectfully requested to fully consider and independently ascertain the teachings and relevance of those applications with respect to the instant application. Applicants would be pleased to provide the Examiner with a copy of these applications upon request.
2. ☐ For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a European Search Report from a counterpart European application is enclosed. Such items are marked as reference letter(s): _____.
3. ☐ For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application. Such items are marked as reference letter(s): _____.
4. ☒ No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:

☒ 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.

☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491.

- [] 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office Action on the merits.
5. [] No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. 1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.
6. [] A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c) after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action). The fee due under 37 C.F.R. §1.17(p) is to be paid as set forth in paragraph 10 below.
7. [] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in accordance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a Notice of Allowance, but before the payment of the issue fee, and is accompanied by:
- a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
 - b. this document is to be considered as a petition requesting consideration of the information disclosure statement.
 - c. the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 10 below.
8. [] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with:

☐ 37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition to Withdraw Application from Issue;

☐ 37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition to Withdraw Application from Issue.

☐ The fee due under 37 C.F.R. §1.17(i)(1) is paid as set forth in paragraph 10 below.

9. ☐ I hereby certify:

☐ that each item of information contained in the items listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. A copy of such communication is enclosed.

☐ that no item of information contained or listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of information was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

10. ☐ Please accept payment of the fees due as indicated below:

☐ A check in the amount of \$180.00 is enclosed in payment of the fee due under 37 C.F.R. 1.17(p).

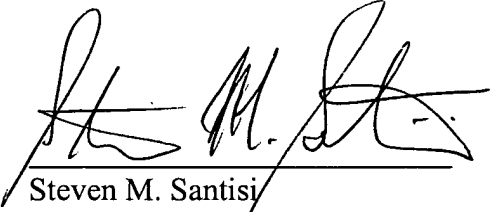
[] The Commissioner is hereby authorized to charge \$180.00 to Deposit Account No. 50-0271 in payment of the fee due under 37 C.F.R. §1.17(p).

11. [X] The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 50-0271. A copy of this authorization is attached.

12. [] No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed concurrently with a Request for Continued Examination.

Respectfully submitted,

February 23, 2004
Date


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Attorney for Applicants
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(203) 461-7300/fax

| INFORMATION DISCLOSURE CITATION | | | | Docket Number 03-011 | | Serial Number Not Yet Assigned | | |
|--|-----|--|----------|---|-------|---|--------------------------------------|----|
| | | | | Applicants WALKER et al. | | | | |
| | | | | Filing Date February 23, 2004 | | Group Art Unit Not Yet Assigned | | |
| U.S. PATENT DOCUMENTS | | | | | | | | |
| EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB- CLASS | FILING DATE IF APPROPRIAT E | |
| | A | 4,184,683 | 01/22/80 | Hooker | 273 | 143 | | |
| | B | 4,448,419 | 05/15/84 | Telnaes | 273 | 143 R | | |
| | C | 4,570,934 | 02/18/86 | Smyth | 273 | 143 | | |
| | D | 4,669,731 | 06/02/87 | Clarke | 273 | 143 | | |
| | E | 4,679,143 | 07/07/87 | Hagiwara | 364 | 412 | | |
| | F | 4,695,053 | 09/22/87 | Vazquez, Jr. et al. | 273 | 143 R | | |
| | G | 4,760,245 | 07/26/88 | Fukaya | 235 | 379 | | |
| | H | 4,856,787 | 08/15/89 | Itkis | 273 | 237 | | |
| | I | 4,939,705 | 07/03/90 | Hamilton et al. | 368 | 10 | | |
| | J | 4,991,848 | 02/12/91 | Greenwood et al. | 273 | 143 R | | |
| | K | 5,083,271 | 01/21/92 | Thacher et al. | 364 | 411 | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | | |
| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB- CLASS | Translation | |
| | | | | | | | Yes | No |
| | L | GB 2 161 008 A | 01/02/86 | United Kingdom | | | | |
| | M | WO 98/19280 | 05/07/98 | PCT | | | | |
| OTHER DOCUMENTS (Including author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | |
| | N | Brewer, Kathleen Pearl, Cummings, Leslie E., "Gaming language: getting a handle on slots.", Cornell Hotel & Restaurant Administration Quarterly, April 1995, Section: Vol. 36, No. 2, pg. 74, ISSN: 0010-8804. | | | | | | |
| EXAMINER | | | | DATE CONSIDERED: | | | | |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | | |

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| EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB- CLASS | FILING DATE IF APPROPRIAT E |
| | O | 5,108,099 | 04/28/92 | Smyth | 273 | 138 | |
| | P | 5,123,649 | 06/23/92 | Tiberio | 273 | 143 | |
| | Q | 5,178,390 | 01/12/93 | Okada | 273 | 143 | |
| | R | 5,179,517 | 01/12/93 | Sarbin et al. | 364 | 410 | |
| | S | 5,242,163 | 09/07/93 | Fulton | 273 | 85 CP | |
| | T | 5,259,616 | 11/09/93 | Bergmann | 273 | 138 A | |
| | U | 5,275,400 | 01/04/94 | Weingardt et al. | 273 | 85 CP | |
| | V | 5,277,424 | 01/11/94 | Wilms | 273 | 85 CP | |
| | W | 5,342,047 | 08/30/94 | Heidel et al. | 273 | 85 CP | |
| | X | 5,356,140 | 10/18/94 | Dabrowski et al. | 273 | 85 CP | |
| | Y | 5,370,399 | 12/06/94 | Liverance | 273 | 434 | |

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| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB- CLASS | Translation | |
| | | | | | | | Yes | No |
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| OTHER DOCUMENTS <i>(Including author, Title, Date, Pertinent Pages, Etc.)</i> | |
|---|--|
| | Z Rose, Bob, "New Ways for the House to Win", St. Louis Post-Dispatch, October 3, 1996, Section: Get Out, pg. 50. |

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| | AA | 5,393,057 | 02/28/95 | Marnell, II | 273 | 85 CP | |
| | BB | 5,393,061 | 02/28/95 | Manship et al | 273 | 143 | |
| | CC | 5,401,023 | 03/28/95 | Wood | 273 | 85 CP | |
| | DD | 5,409,225 | 04/25/95 | Kelly et al. | 273 | 138 R | |
| | EE | 5,449,173 | 09/12/95 | Thomas et al | 273 | 143 | |
| | FF | 5,494,287 | 02/27/96 | Manz | 273 | 143 R | |
| | GG | 5,511,784 | 04/30/96 | Furry et al. | 273 | 143 R | |
| | HH | 5,544,892 | 08/13/96 | Breeding | 273 | 292 | |
| | II | 5,559,312 | 09/24/96 | Lucero | 235 | 380 | |
| | JJ | 5,564,700 | 10/15/96 | Celona | 463 | 27 | |
| | KK | 5,580,308 | 12/03/96 | Nakamura | 463 | 7 | |
| | LL | 5,580,309 | 12/03/96 | Piechowiak et al. | 463 | 16 | |

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| | MM | Brochure: "Power Play Slots", (http //www softsite com/ulti/), January 1997. |

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| | NN | 5,603,502 | 02/18/97 | Nakagawa | 273 | 292 | | |
| | OO | 5,611,730 | 03/18/97 | Weiss | 463 | 20 | | |
| | PP | 5,639,088 | 06/17/97 | Schneider et al | 273 | 138.2 | | |
| | QQ | 5,664,998 | 09/09/97 | Seelig et al. | 463 | 20 | | |
| | RR | 5,655,961 | 08/12/97 | Acres et al. | 463 | 27 | | |
| | SS | 5,695,400 | 12/09/97 | Fennell, Jr. et al. | 463 | 42 | | |
| | TT | 5,695,402 | 12/09/97 | Stupak | 463 | 20 | | |
| | UU | 5,697,843 | 12/16/97 | Manship | 463 | 20 | | |
| | VV | 5,702,304 | 12/30/97 | Acres et al. | 463 | 29 | | |
| | WW | 5,704,835 | 01/06/98 | Dietz, II | 463 | 20 | | |
| | XX | 5,720,662 | 02/24/98 | Holmes, Jr. et al. | 463 | 20 | | |
| | YY | 5,722,891 | 03/03/98 | Inoue | 463 | 20 | | |
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| | ZZ | 5,741,183 | 04/21/98 | Acres et al. | 463 | 42 | |
| | AAA | 5,755,621 | 05/26/98 | Marks et al. | 463 | 42 | |
| | BBB | 5,800,264 | 09/01/98 | Pascal et al. | 463 | 16 | |
| | CCC | 5,823,879 | 10/20/98 | Goldberg et al. | 463 | 42 | |
| | DDD | 5,833,537 | 11/10/98 | Barrie | 463 | 21 | |
| | EEE | 5,833,538 | 11/10/98 | Weiss | 463 | 21 | |
| | FFF | 5,833,540 | 11/10/98 | Miodunski et al. | 463 | 42 | |
| | GGG | 5,836,586 | 11/17/98 | Marks et al. | 273 | 292 | |
| | HHH | 5,848,932 | 12/15/98 | Adams | 463 | 20 | |
| | III | 5,851,147 | 12/22/98 | Stupak et al. | 463 | 13 | |
| | JJJ | 5,910,048 | 06/08/99 | Feinberg | 463 | 25 | |
| | KKK | 5,997,400 | 12/07/99 | Seelig et al. | 463 | 6 | |
| | LLL | 5,997,401 | 12/07/99 | Crawford | 463 | 20 | |

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| OTHER DOCUMENTS <i>(Including author, Title, Date, Pertinent Pages, Etc.)</i> | | |
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| EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB- CLASS | FILING DATE IF APPROPRIAT E |
| | MMM | 5,882,260 | 03/16/99 | Marks et al. | 463 | 13 | |
| | NNN | 5,979,702 | 11/09/99 | Hennessey et al. | 221 | 206 | |
| | OOO | 5,980,384 | 11/09/99 | Barrie | 463 | 16 | |
| | PPP | 6,004,207 | 12/21/99 | Wilson, Jr. et al. | 463 | 20 | |
| | QQQ | 6,019,374 | 02/01/00 | Breeding | 273 | 292 | |
| | RRR | 6,033,307 | 03/07/00 | Vancura | 463 | 20 | |
| | SSS | 6,039,648 | 03/21/00 | Guinn et al. | 463 | 16 | |
| | TTT | 6,068,552 | 05/30/00 | Walker et al. | 463 | 21 | |
| | UUU | 6,077,162 | 06/20/00 | Weiss | 463 | 26 | |
| | VVV | 6,077,163 | 06/20/00 | Walker et al. | 463 | 26 | |
| | WWW | 6,089,975 | 07/18/00 | Dunn | 463 | 16 | |
| | XXX | 6,093,100 | 07/25/00 | Singer et al. | 463 | 13 | |

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| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB- CLASS | Translation | |
| | | | | | | | Yes | No |

| OTHER DOCUMENTS <i>(Including author, Title, Date, Pertinent Pages, Etc.)</i> | | |
|---|-----|---|
| | YYY | Author Unknown; "Innovative Gaming Announces Nevada Approval of IGT Joint Venture Game-Bonus Streak", PR Newswire; May 14, 1997, Section: Financial News. |

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| EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB- CLASS | FILING DATE IF APPROPRIAT E |
| | ZZZ | 6,113,102 | 09/05/00 | Marks et al. | 273 | 292 | |
| | AAAA | 6,135,882 | 10/24/00 | Kadlic | 463 | 13 | |
| | BBBB | 6,142,872 | 11/07/00 | Walker et al. | 463 | 16 | |
| | CCCC | US 6,203,430 B1 | 03/20/01 | Walker et al. | 463 | 20 | |
| | DDDD | US 6,264,560 B1 | 07/24/01 | Goldberg et al. | 463 | 42 | |
| | EEEE | US 6,270,409 B1 | 08/07/01 | Shuster | 463 | 20 | |
| | FFFF | US 6,270,412 B1 | 08/07/01 | Crawford et al. | 463 | 20 | |
| | GGGG | US 6,287,202B1 | 09/11/01 | Pascal et al. | 463 | 42 | |
| | HHHH | US 6,312,332 B1 | 11/06/01 | Walker et al. | 463 | 23 | |
| | IIII | US 6,364,765 B1 | 04/02/02 | Walker et al. | 463 | 16 | |
| | JJJJ | 6,606,602 | 08/12/03 | Kolls | 705 | 14 | |
| | KKKK | 6,520,856 | 02/18/03 | Walker et al. | 280 | 735 | |

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| OTHER DOCUMENTS <i>(Including author, Title, Date, Pertinent Pages, Etc.)</i> | | | |
| LLLL | Poole, David, "Letter From Charlotte: Vegas Perfect For Racing", Las Vegas Review-Journal, June 22, 1997, Section C, Pg. 2C. | | |
| MMMM | "Shuffle Master Begins Immediate Rollout of Five Deck Frenzy and Introduces New Version of Let It Ride", PR Newswire, June 30, 1997, Section: Financial News. | | |
| NNNN | Review: "Five Deck Frenzy", by Shuffle Master and IGT, (http://pitboss.com/R-5deck.shtm), download date: February 1, 1998. | | |
| OOOO | Cook, Melissa, "The Best New Slots", Casino Player Magazine, April 1998, pg. 45. | | |
| PPPP | Grochowski, John; "Royal Is Worth Taking A Risk" Chicago Sun Times, April 5, 1998, Section: SHO, CASINOS, pg. 18; NC. | | |
| QQQQ | Green, Marian; "The New Slot Market", International Gaming & Wagering Business, May 1998, Vol. 19, No. 5. | | |
| RRRR | Website: "World of Slots - The Game King", Casino Journal, (http://www.casinocenter.com/journal/oct97/html/igt.html), download date June 4, 1998. | | |
| SSSS | Website: "A New Generation", Casino Journal (http://www.casinocenter.com/journal/oct97/html/bally.html), download date June 4, 1998. | | |
| TTTT | Author Unknown; "The Interactive Experience", Casino Journal (http://www.casinocenter.com/journal/oct97/html/ac_coin.html), download date June 4, 1998. | | |
| UUUU | Brokopp, John, "New Slots Send Up Caution Flag", (http://brokopp.casinocitytimes.com/articles/545.html), dated: August 2, 1999. | | |
| VVVV | Brochure: "Vision Series – 5-Line Double Diamond Mine", IGT, Copyright 2000. | | |
| WWWW | Website: "Double Diamond Mine Slots", (http://www.igt.com/GamingGroup/Games/game.asp?pid=5.12.108.119&theme_id=2390), download date: February 9, 2004. | | |
| EXAMINER | | DATE CONSIDERED: | |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | |

